

12/14/01  
JG678 U.S. PTO

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|   |  |   |  |
|---|--|---|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> |  | Attorney Docket <b>780396.91551</b>                           |  |
|   |  | First Inventor <b>Ernest Ndzebet</b>                          |  |
|   |  | Title <b>OXAZOLINE SURFACTANT ANODE ADDITIVE FOR ALKALINE</b> |  |
|   |  | Express Mail Label No. <b>ET924501726US</b>                   |  |

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

|   |   |
|---|---|
| <p>1. <input checked="" type="checkbox"/> Fee transmittal Form<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status<br/><small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> Specification (Total Pages <span style="border: 1px solid black; padding: 0 5px;">10</span>)<br/><i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed Sponsored R&amp;D</li> <li>- Reference to sequence listing, a table or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets <span style="border: 1px solid black; padding: 0 5px;">2</span>)</p> <p>5. Oath or Declaration (Total Pages <span style="border: 1px solid black; padding: 0 5px;">22</span>)</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior Application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/>Signed Statement attached deleting inventor(s) named in prior application, see 37CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <i>Specification Sequence Listing on</i></p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 Copies); or</p> <p>ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|---|---|

|   |  |
|---|--|
| <b>ACCOMPANYING APPLICATION PARTS</b>   |  |
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents)</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br/>(where there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return receipt postcard (MPEP 503)<br/><i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified copy of priority Document(s)<br/><i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Other:</p> |  |

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information: and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

|   |                         |
|---|-------------------------|
| <b>18. CORRESPONDENCE ADDRESS</b>   |                         |
| <input type="checkbox"/> Customer Number or Bar Code Label <span style="border: 1px dashed black; padding: 5px; display: inline-block; width: 200px; height: 20px;"></span> or <input checked="" type="checkbox"/> Correspondence address below |                         |
| NAME  | Bennett J. Berson       |
|   | Quarles & Brady LLP     |
| ADDRESS   | 1 South Pinckney Street |
|   | P O Box 2113            |
| CITY  | Madison                 |
| STATE   | WI                      |
| ZIP CODE  | 53701-2113              |
| COUNTRY   | USA                     |
| TELEPHONE   | 608/251-5000            |
| FAX   | 608/251-9166            |

|           |                   |                                   |                         |
|-----------|-------------------|-----------------------------------|-------------------------|
| Name      | Bennett J. Berson | Registration No. (Attorney/Agent) | 37,094                  |
| Signature |                   |                                   | Date: December 14, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. QBMA01320699

**FEE TRANSMITTAL  
FOR FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$ 740.00

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Ernest Ndzebet

Group Art Unit

Examiner Name

Attorney Docket Number

780396.91551

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

17-0055

Deposit  
Account  
Name

Quarles &amp; Brady LLP

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status
- 
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity<br>Fee Code | Fee (\$) | Small Entity<br>Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------------------|----------|--------------------------|----------|------------------------|----------|
| 101                      | 740      | 201                      | 370      | Utility filing fee     | 740      |
| 106                      | 330      | 206                      | 165      | Design filing fee      |          |
| 107                      | 510      | 207                      | 255      | Plant filing fee       |          |
| 108                      | 740      | 208                      | 370      | Reissue filing fee     |          |
| 114                      | 160      | 214                      | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$) 740.00

**2. CLAIMS**

| Total Claims              | Extra    | Fee from below | Fee Paid |
|---------------------------|----------|----------------|----------|
| 15                        | -20**= 0 | X              |          |
| Independent 2             | -3**= 0  | X              |          |
| Multiple Dependent Claims |          |                |          |

\*\* or number previously paid, if greater, For reissues see below

| Large Entity<br>Fee Code | Fee (\$) | Small Entity<br>Fee Code | Fee (\$) | Fee Description   |
|--------------------------|----------|--------------------------|----------|---|
| 103                      | 18       | 203                      | 9        | Claims in excess of 20                                    |
| 102                      | 84       | 202                      | 42       | Independent claims in excess of 3                         |
| 104                      | 280      | 204                      | 140      | Multiple dependent claim                                  |
| 109                      | 84       | 209                      | 42       | **Reissue independent claims over original patent         |
| 110                      | 18       | 210                      | 9        | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$).00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity<br>Fee Code | Fee (\$) | Small Entity<br>Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|--------------------------|----------|--------------------------|----------|--|----------|
| 105                      | 130      | 205                      | 65       | Surcharge - late filing fee or oath  |          |
| 127                      | 50       | 227                      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                      | 130      | 139                      | 130      | Non-English specification  |          |
| 147                      | 2,520    | 147                      | 2,520    | For filing a request for reexamination                                     |          |
| 112                      | 920      | 112                      | 920      | Requesting publication of SIR prior to Examiner action                     |          |
| 113                      | 1,840    | 113                      | 1,840    | Requesting publication of SIR after Examiner action                        |          |
| 115                      | 110      | 215                      | 55       | Extension for reply within first month                                     |          |
| 116                      | 400      | 216                      | 200      | Extension for reply within second month                                    |          |
| 117                      | 920      | 217                      | 460      | Extension for reply within third month                                     |          |
| 118                      | 1,440    | 218                      | 720      | Extension for reply within fourth month                                    |          |
| 128                      | 1,960    | 228                      | 980      | Extension for reply within fifth month                                     |          |
| 119                      | 320      | 219                      | 160      | Notice of Appeal   |          |
| 120                      | 320      | 220                      | 160      | Filing a brief in support of an appeal                                     |          |
| 121                      | 280      | 221                      | 140      | Request for oral hearing   |          |
| 138                      | 1,510    | 138                      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                      | 110      | 240                      | 55       | Petition to revive unavoidably abandoned application                       |          |
| 141                      | 1,280    | 241                      | 640      | Petition to revive unintentionally abandoned application                   |          |
| 142                      | 1,280    | 242                      | 640      | Utility issue fee (or reissue)   |          |
| 143                      | 460      | 243                      | 230      | Design issue fee   |          |
| 144                      | 620      | 244                      | 310      | Plant issue fee  |          |
| 122                      | 130      | 122                      | 130      | Petitions to the Commissioner  |          |
| 123                      | 50       | 123                      | 50       | Petitions related to provisional applications                              |          |
| 126                      | 180      | 126                      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581                      | 40       | 581                      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146                      | 740      | 246                      | 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 146                      | 740      | 246                      | 370      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179                      | 740      | 270                      | 370      | Request for Continued Examination (RCE)                                    |          |
| 169                      | 900      | 169                      | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$).00

**SUBMITTED BY****Complete (if applicable)**Typed or  
Printed Name

Bennett J. Berson

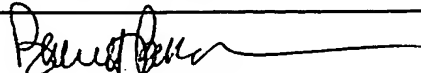
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37,094

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608/251-5000

Signature



Date

December 14, 2001



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Phoenix and Tucson, Arizona  
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Milwaukee and Madison, Wisconsin*

December 14, 2001

Commissioner for Patents  
Box Patent Application  
Washington DC 20231

Re: Filing New Patent Application

Dear Sir:

Enclosed for filing please find a new patent application entitled:

OXAZOLINE SURFACTANT ANODE ADDITIVE  
FOR ALKALINE ELECTROCHEMICAL CELLS

by Ernest Ndzebet

The undersigned hereby certifies that this document is being deposited with the United States Postal Service today, December 14, 2001, by the "Express Mail" service, utilizing Express Mail label number ET924501726US, addressed to: Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Please indicate receipt of this application by returning the attached postcard with the official Patent and Trademark Office receipt and serial number stamped thereon.

Respectfully submitted,

Enclosures  
QBMAD\320689